

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER von Kreisler.022
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5 10/070042
INTERNATIONAL APPLICATION NO. PCT/EP00/08381	INTERNATIONAL FILING DATE August 28, 2000	PRIORITY DATE CLAIMED August 27, 1999	
TITLE OF INVENTION "IMPREGNATION METHOD"			
APPLICANT(S) FOR DO/EO/US Jörg Peter Schür			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input checked="" type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p>			

PCT/EP00/08381

John S. Child, Jr.
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John S. Child, Jr.
NAME

28,833

REGISTRATION NUMBER

PATENT

ATTORNEY DOCKET NO. VON KREISLER.022

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for National Phase
Filing in the United States of

Applicant: Jörg Peter Schür

Serial No.: [to be assigned]

Filing Date: [concurrently herewith]

Based upon International Application No.
PCT/EP00/08381

Filed : August 28, 2000

For: "IMPREGNATION METHOD"

Examiner:

Group Art Unit:

BOX — FEE — PCT

Assistant Commissioner for Patents
Washington, DC 20231

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.111

Dear Sir:

Applicant amends the specification and claims as follows:

In The Specification:

Please insert the following paragraph at page 1, line 1:

— —This Application is a national phase filing of co-
pending International Application No. PCT/EP00/08381
filed August 28, 2000, which claims the benefit of that
application under 35 U.S.C. § 120 and which also claims
the benefit under 35 U.S.C. § 119 of German Application
No. 119 40 605.7 filed August 27, 1999. — —

In The Claims:

Please amend the pending claims as follows:

1. (Amended) A method for the impregnation and treatment of [microbially degradable, contaminatable and/or perishable substances/objects or parasite-attacked substances/objects, wherein said substances/objects are] articles selected from the group consisting of wood/timber and wood products, textiles and textile raw materials, plastics and rubbers [prone to germ contamination], natural and mineral insulation and sealant materials, construction materials made of mineral and natural substances, filters, soils and fertilizers, animal-derived raw materials, paints, lubricants, adhesives, detergents and cleaning agents; comprising the step of
 - [the distribution or application of an antimicrobial and/or antiparasitic] applying a composition to the surface of the [above-mentioned degradable, contaminatable and/or perishable subjects/objects] article; and/or
 - [the incorporation of] incorporating said [antimicrobial and/or antiparasitic] composition into said [degradable, contaminatable and/or perishable substances/objects] article;wherein said [antimicrobial and/or antiparasitic] composition [containing] comprises at least two GRAS (generally recognized as safe) flavoring agents, [but wherein said antiparasitic composition does] that are not [exclusively

contain] solely cinnamic aldehyde or a mixture of cinnamic aldehyde and terpenes.

3. (Amended) The method according to claim 1 [or 2], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises at least one GRAS flavor alcohol [(a), preferably an aromatic GRAS flavor alcohol, especially benzyl alcohol].
4. (Amended) The method according to claim[s] 3, wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises less than 50% by weight[, preferably less than 30% by weight, more preferably less than 20% by weight,] of an alcohol selected from the group consisting of ethanol, isopropanol or benzyl alcohol or a mixture of these [substances] alcohols.
5. (Amended) The method according to claim 1 [or 2], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises at least one hydrophilic alcoholic GRAS flavoring agent and/or one hydrophilic non-alcoholic GRAS flavoring agent.
6. (Amended) The method according to claim 5, wherein said [antimicrobial and/or antiparasitic] composition further [contains] comprises benzyl alcohol and/or a polyphenol compound [(b)].

7. (Amended) The method according to claim 1 [or 2], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises one or more GRAS flavor alcohols or their derivatives (a) and one or more flavoring agents selected from polyphenol compounds (b) and GRAS flavor acids or their derivatives (c).
8. (Amended) The method according to claim 7, wherein said antimicrobial and/or antiparasitic composition [contains] comprises:
from 0.1 to 99% by weight[, preferably from 0.5 to 99% by weight,] of component (a);
from 0.01 to 25% by weight[, preferably from 0.01 to 10% by weight,] of component (b); and
from 0.01 to 70% by weight[, preferably from 0.01 to 30% by weight,] of component (c).
9. (Amended) The method according to claim 7 [or 8], wherein said GRAS flavor alcohol (a) is selected from the group consisting of:
benzyl alcohol, acetoin, ethyl alcohol, propyl alcohol, isopropyl alcohol, propylene glycol, glycerol, n-butyl alcohol, iso-butyl alcohol, hexyl alcohol, L-menthol, octyl alcohol, cinnamyl alcohol, α -methylbenzyl alcohol, heptyl alcohol, n-amyl alcohol, iso-amyl alcohol, anisalcohol, citronellol, n-decyl alcohol, geraniol, β , γ -hexenol, lauryl alcohol, linalool, nerolidol, nonadienol, nonyl alcohol, rhodinol, terpineol, borneol, clineol, anisole, cuminyl alcohol, 10-undecene-1-ol, 1-hexadecanol or their derivatives;

said polyphenol compound (b) is selected from the group consisting of: catechol, resorcinol, hydroquinone, phloroglucinol, pyrogallol, cyclohexane, resveratrol, usnic acid, acylpolyphenols, lignins, anthocyanins, flavones, catechols, gallic acid derivatives, caffeic acid, flavonoids, derivatives of the mentioned polyphenols, and extracts from Camellia, Primula; and

said GRAS acid (c) is selected from the group consisting of: acetic acid, aconitic acid, adipic acid, formic acid, malic acid, capronic acid, hydrocinnamic acid, pelargonic acid, lactic acid, phenoxyacetic acid, phenylacetic acid, valeric acid, iso-valeric acid, cinnamic acid, citric acid, mandelic acid, tartaric acid, fumaric acid, tannic acid and their derivatives.

10. (Amended) The method according to [one or more of] claim[s] 7 [to 9], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises:
(a1) an aromatic GRAS flavor alcohol[, especially benzyl alcohol, as a necessary component]; and optionally
(a2) at least one [or more further] additional GRAS flavor alcohol[s] or [their] its derivative[s]; and
(b) at least one [or more] polyphenol compound[s]; and[/or] optionally
(c) at least one [or more] GRAS acid[s] or [their] its derivative[s].
11. (Amended) The method according to claim 10, wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises:

from 0.1 to 99% by weight[, preferably from 0.1 to 75% by weight,] of benzyl alcohol;

from 0.01 to 99.8% by weight[, preferably from 0.01 to 99% by weight,] of component (a2); [and]

from 0.01 to 25% by weight[, preferably from 0.01 to 10% by weight,] of component (b); and

from 0.01 to 70% by weight[, preferably from 0.01 to 30% by weight,] of component (c).

12. (Amended) The method according to claim 10 [or 11], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises at least one [or more] polyphenol compound[s] (b) as a necessary component and optionally at least one [or more] GRAS acid[s] (c) or [their] its derivative[s].
13. (Amended) The method according to [one or more of] claim[s] 7 [to 12], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises further GRAS flavoring agents selected from (d) phenols, (e) esters, (f) terpenes, (g) acetals, (h) aldehydes and (i) essential oils.
14. (Amended) The method according to claim 13, wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises from 0.001 to 25% by weight[, preferably from 0.01 to 9% by weight,] of said further GRAS flavoring agents (d) to (i).

15. (Amended) The method according to claim 13 [or 14], wherein said further GRAS flavoring agents are phenols [(d)] and/or essential oils [(i)].
16. (Amended) The method according to [one or more of] claim[s] 2 [to 15], wherein said [antimicrobial and/or antiparasitic] composition does not contain any derivatives of said GRAS flavoring agents.
17. (Amended) The method according to [one or more of] claim[s] 10 [to 16], wherein said antimicrobial and/or antiparasitic composition [contains] comprises one or two GRAS flavor alcohols [(a2)] and at least one polyphenol compound [(b)].
18. (Amended) The method according to claim 17, wherein said polyphenol compound [(b)] is tannin.
19. (Amended) The method according to claim 18, wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises from 0.1 to 98% by weight of benzyl alcohol and from 0.01 to 10% by weight of tannin.
20. (Amended) The method according to claim 1, wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises:
[(ii)] (i) at least one lipophilic GRAS (generally recognized as safe) flavoring agent; and

(ii) at least one hydrophilic GRAS flavoring agent.

22. (Amended) The method according to claim 20 [or 21], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises at least two lipophilic GRAS flavoring agents[, preferably two lipophilic GRAS flavor alcohols (a_i)].
23. (Amended) The method according to claim 21 [or 22], wherein said lipophilic GRAS flavor alcohols are selected from the group consisting of aromatic GRAS flavor alcohols, including benzyl alcohol, 2-phenylethanol, 1-phenylethanol, cinnamyl alcohol, hydrocinnamyl alcohol, 1-phenyl-1-propanol and anisalcohol, and aliphatic GRAS flavor alcohols, including n-butyl alcohol, iso-butyl alcohol, hexyl alcohol, L-menthol, octyl alcohol, heptyl alcohol, n-amyl alcohol, iso-amyl alcohol, anisalcohol, citronellol, n-decyl alcohol, geraniol, β , γ -hexenol, lauryl alcohol, linalool, nerolidol, nonadienol, nonyl alcohol, rhodinol, terpineol, borneol, clineol, anisole, cuminyl alcohol, 10-undecene-1-ol and 1-hexadecanol and their derivatives[, wherein the aromatic GRAS flavor alcohols, especially benzyl alcohol, are preferred].
24. (Amended) The method according to [one or more of] claim[s] 20 [to 23], wherein said hydrophilic GRAS flavoring agent is selected from the group consisting of a hydrophilic alcoholic GRAS flavoring agent [(a_n) or] and a hydrophilic non-alcoholic GRAS flavoring agent, wherein [said hydrophilic alcoholic GRAS flavoring agent (a_n) is preferably a monohydric or polyhydric

alcohol having from 2 to 10, preferably from 2 to 7, carbon atoms, which is more preferably selected from acetoin, ethyl alcohol, propyl alcohol, isopropyl alcohol, propylene glycol and glycerol; and]

said hydrophilic non-alcoholic GRAS flavoring agent is a hydrophilic organic GRAS flavor acid [(c_h)] having from 1 to 15 carbon atoms or a physiological salt thereof, a hydrophilic acetate [(e_h)] or a hydrophilic aldehyde [(h_h)].

25. (Amended) The method according to claim 24, wherein said hydrophilic organic acid [(c_h)] contains from 2 to 10 carbon atoms and is [especially] selected from the group consisting of acetic acid, aconitic acid, formic acid, malic acid, lactic acid, phenylacetic acid, citric acid, mandelic acid, tartaric acid, fumaric acid, tannic acid, hydrocinnamic acid and their physiological salts;
- said hydrophilic acetate [(e_h)] is selected from the group consisting of allicin, triacetin, potassium acetate, sodium acetate and calcium acetate; and/or
- said hydrophilic aldehyde [(h_h)] is selected from the group consisting of furfural, propionaldehyde and vanillin.
26. (Amended) The method according to claim 24, wherein said [antimicrobial and/or anti-parasitic] composition [contains] comprises less than 50% by weight[, preferably less than 30% by weight, more preferably less than 20% by weight,] of benzyl alcohol or of a mixture of benzyl alcohol with ethanol and/or isopropanol.

27. (Amended) The method according to claim 24 [or 25], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises two lipophilic GRAS flavor alcohols [(a_i)], but no benzyl alcohol and no polyphenol compounds [(b)].
28. (Amended) The method according to claim 24 [or 25], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises benzyl alcohol and/or a polyphenol compound [(b)], but no further GRAS flavor alcohols.
29. (Amended) The method according to claim 27 [or 28], wherein said [antimicrobial and/or antiparasitic] composition [contains exclusively non-alcoholic hydrophilic GRAS flavoring agents, especially exclusively] consists of a hydrophilic GRAS flavor acid [(c_n)].
30. (Amended) The method according to claim 28 [or 29], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises:
from 0.01 to 99% by weight[, preferably from 0.1 to 90% by weight,] of benzyl alcohol or polyphenol compounds [(b)]; and
from 0.01 to 50% by weight[, preferably from 0.1 to 30% by weight,] of hydrophilic non-alcoholic GRAS flavoring agents.
31. (Amended) The method according to claim 20 [or 21], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises:

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32. (Amended) The method according to claim 31, wherein said [antimicrobial and/or anti-parasitic] composition [contains] comprises:
from 0.1 to 99% by weight[, preferably from 0.5 to 99% by weight,] of component (a);
from 0.01 to 25% by weight[, preferably from 0.01 to 10% by weight], of component (b); and
from 0.01 to 70% by weight[, preferably from 0.01 to 30% by weight,] of component (c).
33. (Amended) The method according to claim 31 [or 32], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises further GRAS flavoring agents selected from (d) phenols or their derivatives, (e₁) lipophilic esters, (f) terpenes, (g) acetals, (h₁) lipophilic aldehydes and (i) essential oils.
34. (Amended) The method according to [one or more of] claim[s] 31 [to 33], wherein said polyphenol compound (b) is selected from the group consisting of:
catechol, resorcinol, hydroquinone, phloroglucinol, pyrogallol, cyclohexane, resveratrol, usnic acid, acylpolyphenols, lignins, anthocyanins, flavones,

catechols, gallic acid derivatives, caffeic acid, flavonoids, derivatives of the mentioned polyphenols, and extracts from Camellia, Primula; and

said lipophilic GRAS acid (c) is selected from the group consisting of:

adipic acid, capronic acid, pelargonic acid, phenoxyacetic acid, valeric acid, iso-valeric acid, cinnamic acid, mandelic acid and their derivatives.

35. (Amended) The method according to [one or more of] claim[s] 31 [to 34], wherein component (A) of said [antimicrobial/antiparasitic] composition [contains] comprises benzyl alcohol [as a necessary component (a₁)] and optionally one or more further lipophilic GRAS flavor alcohols or their derivatives (a_i).
36. (Amended) The method according to claim [34 or] 35, wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises:
from 0.1 to 99% by weight[, preferably from 0.1 to 75% by weight,] of benzyl alcohol;
from 0.01 to 99.8% by weight[, preferably from 0.01 to 99% by weight,] of component (a_i); [and]
from 0.01 to 25% by weight[, preferably from 0.01 to 10% by weight,] of component (b); and
from 0.01 to 70% by weight[, preferably from 0.01 to 30% by weight,] of component (c).

37. (Amended) The method according to claim [35 or 36] 31, wherein component (B) of said [antimicrobial and/or antiparasitic] composition [contains] comprises at least one [or more] polyphenol compound[s] (b) [as a necessary component] and optionally (c) one or more GRAS acids or their derivatives.
38. (Amended) The method according to claim 36 [or 37], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises [further GRAS flavoring agents (d) to (i), preferably] from 0.001 to 25% by weight[, more preferably from 0.01 to 9% by weight,] of [said further] at least one GRAS flavoring agent[s (d) to (i)] selected from the group consisting of phenols and their derivatives, lipophilic esters, terpenes, acetals, lipophilic aldehydes and essential oils.
39. (Amended) The method according to claim 38, wherein said further GRAS flavoring agents are phenols [(d)] and/or essential oils [(i)].
40. (Amended) The method according to [one or more of] claim[s] 21 [to 39], wherein said [antimicrobial and/or antiparasitic] composition does not contain any derivatives of said GRAS flavoring agents.
41. (Amended) The method according to [one or more of] claim[s] 34 [to 40], wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises one or two lipophilic GRAS flavor alcohols (a_i) and at least one polyphenol compound (b).

43. (Amended) The method according to claim 42, wherein said [antimicrobial and/or antiparasitic] composition [contains] comprises from 20 to 98% by weight of benzyl alcohol and from 0.01 to 10% by weight of tannin.
44. (Amended) The method according to [one or more of] claim[s] 1 [to 43], wherein said [antimicrobial and/or antiparasitic] composition [further contains] comprises at least one additive selected from the group consisting of monohydric or polyhydric alcohols having from 2 to 10 carbon atoms, emulsifiers, stabilizers, antioxidants, preservatives, solvents and/or carriers.
45. (Amended) The method according to [one or more of] claim[s] 1 [to 43], wherein said [antimicrobial and/or antiparasitic] composition [exclusively consists of] contains GRAS flavoring agents.
46. (Amended) The method according to [one or more of] claim[s] 1 [to 21], wherein said [microbially degradable and/or perishable substances/objects] articles are selected from air filters, wool and cotton.
47. (Amended) [Use of an antimicrobial/antiparasitic] A method of treatment of the articles defined in claim 1 comprising the step of applying the composition as defined in claim[s] 1 [to 45, preferably as defined in claims 20 to 45,] for the impregnation or surface treatment of [microbially degradable, contaminatable and/or perishable substances/objects or of parasite-attacked substances/objects or of substances/objects which have to be self-

decontaminating, wherein said substances/objects are as defined in claim 1] the articles.

48. (Amended) [Use of an antimicrobial/antiparasitic] A method of treatment of the articles defined in claim 1 comprising the step of incorporating the composition as defined in claim[s] 1 [to 45, preferably as defined in claims 20 to 45, for incorporation into microbiologically degradable, contaminatable and/or perishable substances/objects, or into parasite-attacked substances/objects or into substances/objects which have to be self-decontaminating, wherein said substances/objects are as defined in claim 1] into said article.

REMARKS

The specification has been amended to claim the benefits of the copending International Application under 35 U.S.C. § 120 and of the priority German patent application under 35 U.S.C. § 119.

The translation of the International Application did not include an Abstract of the Disclosure as required under 37 C.F.R. § 1.72(b). However, the copending International Application, when published as Published International Application No. WO 01/15528 A1, on March 8, 2001, included an Abstract in English. That Abstract is included in the English language copy of the application submitted herewith and is considered to be part of the application because of its inclusion in the Published International Application No. WO 01/15528 A1.

(The following information was obtained from the records of the Federal Bureau of Investigation, Department of Justice.)

Respectfully submitted,

John S. Child, Jr.
John S. Child, Jr.

February 27, 2002

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EXHIBIT A

Amendment to the Specification

This Application is a national phase filing of co-pending International Application No. PCT/EP00/08381 filed August 28, 2000, which claims the benefit of that application under 35 U.S.C. § 120 and which also claims the benefit under 35 U.S.C. § 119 of German Application No. 119 40 605.7 filed August 27, 1999.

Claims as Amended

1. A method for the impregnation and treatment of articles selected from the group consisting of wood/timber and wood products, textiles and textile raw materials, plastics and rubbers, natural and mineral insulation and sealant materials, construction materials made of mineral and natural substances, filters, soils and fertilizers, animal-derived raw materials, paints, lubricants, adhesives, detergents and cleaning agents; comprising the step of
 - applying a composition to the surface of the article; and/or
 - incorporating said composition into said article;

wherein said composition comprises at least two GRAS (generally recognized as safe) flavoring agents, that are not solely cinnamic aldehyde or a mixture of cinnamic aldehyde and terpenes.

2. The method according to claim 1, wherein said GRAS flavoring agents are selected from (a) GRAS flavor alcohols or their derivatives, (b) polyphenol compounds, (c) GRAS flavor acids or their derivatives, (d) phenols or their derivatives, (e) esters, (f) terpenes, (g) acetals, (h) aldehydes and (i) essential oils.
3. The method according to claim 1, wherein said composition comprises at least one GRAS flavor alcohol.
4. The method according to claim 3, wherein said composition comprises less than 50% by weight of an alcohol selected from the group consisting of ethanol, isopropanol or benzyl alcohol or a mixture of these alcohols.
5. The method according to claim 1, wherein said composition comprises at least one hydrophilic alcoholic GRAS flavoring agent and/or one hydrophilic non-alcoholic GRAS flavoring agent.

6. The method according to claim 5, wherein said composition further comprises benzyl alcohol and/or a polyphenol compound.
7. The method according to claim 1, wherein said composition comprises one or more GRAS flavor alcohols or their derivatives (a) and one or more flavoring agents selected from polyphenol compounds (b) and GRAS flavor acids or their derivatives (c).
8. The method according to claim 7, wherein said antimicrobial and/or antiparasitic composition comprises:
from 0.1 to 99% by weight of component (a);
from 0.01 to 25% by weight of component (b); and
from 0.01 to 70% by weight of component (c).
9. The method according to claim 7, wherein said GRAS flavor alcohol (a) is selected from the group consisting of:
benzyl alcohol, acetoin, ethyl alcohol, propyl alcohol, isopropyl alcohol, propylene glycol, glycerol, n-butyl alcohol, iso-butyl alcohol, hexyl alcohol, L-menthol, octyl alcohol, cinnamyl alcohol, α -methylbenzyl alcohol, heptyl alcohol, n-amyl alcohol, iso-amyl alcohol, anisalcohol, citronellol, n-decyl alcohol, geraniol, β , γ -hexenol, lauryl alcohol, linalool, nerolidol, nonadienol, nonyl alcohol, rhodinol, terpeneol, borneol, clineol, anisole, cuminyl alcohol, 10-undecene-1-ol, 1-hexadecanol or their derivatives;

said polyphenol compound (b) is selected from the group consisting of:
catechol, resorcinol, hydroquinone, phloroglucinol, pyrogallol, cyclohexane,
resveratrol, usnic acid, acylpolyphenols, lignins, anthocyanins, flavones, catechols,
gallic acid derivatives, caffeic acid, flavonoids, derivatives of the mentioned
polyphenols, and extracts from Camellia, Primula; and

said GRAS acid (c) is selected from the group consisting of:
acetic acid, aconitic acid, adipic acid, formic acid, malic acid, capronic acid,
hydrocinnamic acid, pelargonic acid, lactic acid, phenoxyacetic acid,
phenylacetic acid, valeric acid, iso-valeric acid, cinnamic acid, citric acid,
mandelic acid, tartaric acid, fumaric acid, tannic acid and their derivatives.

10. The method according to claim 7, wherein said composition comprises:
(a1) an aromatic GRAS flavor alcohol; and optionally
(a2) at least one additional GRAS flavor alcohol or its derivative; and
(b) at least one polyphenol compound; and optionally
(c) at least one GRAS acid or its derivative.
11. The method according to claim 10, wherein said composition comprises:
from 0.1 to 99% by weight of benzyl alcohol;
from 0.01 to 99.8% by weight of component (a2);
from 0.01 to 25% by weight of component (b); and
from 0.01 to 70% by weight of component (c).

12. The method according to claim 10, wherein said composition comprises at least one polyphenol compound (b) as a necessary component and optionally at least one GRAS acid (c) or its derivative.
13. The method according to claim 7, wherein said composition comprises further GRAS flavoring agents selected from (d) phenols, (e) esters, (f) terpenes, (g) acetals, (h) aldehydes and (i) essential oils.
14. The method according to claim 13, wherein said composition comprises from 0.001 to 25% by weight of said further GRAS flavoring agents (d) to (i).
15. The method according to claim 13, wherein said further GRAS flavoring agents are phenols and/or essential oils.
16. The method according to claim 2, wherein said composition does not contain any derivatives of said GRAS flavoring agents.
17. The method according to claim 10, wherein said antimicrobial and/or antiparasitic composition comprises one or two GRAS flavor alcohols and at least one polyphenol compound.
18. The method according to claim 17, wherein said polyphenol compound is tannin.

19. The method according to claim 18, wherein said composition comprises from 0.1 to 98% by weight of benzyl alcohol and from 0.01 to 10% by weight of tannin.
20. The method according to claim 1, wherein said composition comprises:
 - (i) at least one lipophilic GRAS (generally recognized as safe) flavoring agent;
and
 - (ii) at least one hydrophilic GRAS flavoring agent.
21. The method according to claim 20, wherein said lipophilic GRAS flavoring agents are selected from (a₁) lipophilic GRAS flavor alcohols or their derivatives, (b) polyphenol compounds, (c₁) lipophilic GRAS flavor acids or their derivatives, (d) phenols or their derivatives, (e) lipophilic esters, (f) terpenes, (g) acetals, (h₁) lipophilic aldehydes and (i) essential oils.
22. The method according to claim 20, wherein said composition comprises at least two lipophilic GRAS flavoring agents.
23. The method according to claim 21, wherein said lipophilic GRAS flavor alcohols are selected from the group consisting of aromatic GRAS flavor alcohols, including benzyl alcohol, 2-phenylethanol, 1-phenylethanol, cinnamyl alcohol, hydrocinnamyl alcohol, 1-phenyl-1-propanol and anisalcohol, and aliphatic GRAS flavor alcohols, including n-butyl alcohol, iso-butyl alcohol, hexyl alcohol,

L-menthol, octyl alcohol, heptyl alcohol, n-amyl alcohol, iso-amyl alcohol, anisalcohol, citronellol, n-decyl alcohol, geraniol, β , γ -hexenol, lauryl alcohol, linalool, nerolidol, nonadienol, nonyl alcohol, rhodinol, terpineol, borneol, cineol, anisole, cuminyl alcohol, 10-undecene-1-ol and 1-hexadecanol and their derivatives.

24. The method according to claim 20, wherein said hydrophilic GRAS flavoring agent is selected from the group consisting of a hydrophilic alcoholic GRAS flavoring agent and a hydrophilic non-alcoholic GRAS flavoring agent, wherein said hydrophilic non-alcoholic GRAS flavoring agent is a hydrophilic organic GRAS flavor acid having from 1 to 15 carbon atoms or a physiological salt thereof, a hydrophilic acetate or a hydrophilic aldehyde.
25. The method according to claim 24, wherein said hydrophilic organic acid contains from 2 to 10 carbon atoms and is selected from the group consisting of acetic acid, aconitic acid, formic acid, malic acid, lactic acid, phenylacetic acid, citric acid, mandelic acid, tartaric acid, fumaric acid, tannic acid, hydrocinnamic acid and their physiological salts;
- said hydrophilic acetate is selected from the group consisting of allicin, triacetin, potassium acetate, sodium acetate and calcium acetate; and/or
- said hydrophilic aldehyde is selected from the group consisting of furfural, propionaldehyde and vanillin.

26. The method according to claim 24, wherein said composition comprises less than 50% by weight of benzyl alcohol or of a mixture of benzyl alcohol with ethanol and/or isopropanol.
27. The method according to claim 24, wherein said composition comprises two lipophilic GRAS flavor alcohols, but no benzyl alcohol and no polyphenol compounds.
28. The method according to claim 24, wherein said composition comprises benzyl alcohol and/or a polyphenol compound, but no further GRAS flavor alcohols.
29. The method according to claim 27, wherein said composition consists of a hydrophilic GRAS flavor acid.
30. The method according to claim 28, wherein said composition comprises:
from 0.01 to 99% by weight of benzyl alcohol or polyphenol compounds; and
from 0.01 to 50% by weight of hydrophilic non-alcoholic GRAS flavoring agents.
31. The method according to claim 20, wherein said composition comprises:
(A) one or more GRAS flavor alcohols (a) or their derivatives; and
(B) one or more flavoring agents selected from polyphenol compounds (b) and lipophilic GRAS flavor acids or their derivatives (c).

32. The method according to claim 31, wherein said composition comprises:
from 0.1 to 99% by weight of component (a);
from 0.01 to 25% by weight of component (b); and
from 0.01 to 70% by weight of component (c).
33. The method according to claim 31, wherein said composition comprises further
GRAS flavoring agents selected from (d) phenols or their derivatives, (e)
lipophilic esters, (f) terpenes, (g) acetals, (h) lipophilic aldehydes and (i)
essential oils.
34. The method according to claim 31, wherein said polyphenol compound (b) is
selected from the group consisting of:
catechol, resorcinol, hydroquinone, phloroglucinol, pyrogallol, cyclohexane,
resveratrol, usnic acid, acylpolyphenols, lignins, anthocyanins, flavones, catechols,
gallic acid derivatives, caffeic acid, flavonoids, derivatives of the mentioned
polyphenols, and extracts from Camellia, Primula; and

said lipophilic GRAS acid (c) is selected from the group consisting of:
adipic acid, capronic acid, pelargonic acid, phenoxyacetic acid, valeric acid, iso-
valeric acid, cinnamic acid, mandelic acid and their derivatives.

35. The method according to claim 31, wherein component (A) of said composition comprises benzyl alcohol and optionally one or more further lipophilic GRAS flavor alcohols or their derivatives (a_1).
36. The method according to claim 35, wherein said composition comprises:
from 0.1 to 99% by weight of benzyl alcohol;
from 0.01 to 99.8% by weight of component (a_1);
from 0.01 to 25% by weight of component (b); and
from 0.01 to 70% by weight of component (c).
37. The method according to claim 31, wherein component (B) of said composition comprises at least one polyphenol compound (b) and optionally (c) one or more GRAS acids or their derivatives.
38. The method according to claim 36, wherein said composition comprises at least one GRAS flavoring agent selected from the group consisting of phenols and their derivatives, lipophilic esters, terpenes, acetals, lipophilic aldehydes and essential oils.
39. The method according to claim 38, wherein said further GRAS flavoring agents are phenols and/or essential oils.

40. The method according to claim 21, wherein said composition does not contain any derivatives of said GRAS flavoring agents.
41. The method according to claim 34, wherein said composition comprises one or two lipophilic GRAS flavor alcohols (a₁) and at least one polyphenol compound (b).
42. The method according to claim 41, wherein said polyphenol compound (b) is tannin.
43. The method according to claim 42, wherein said composition comprises from 20 to 98% by weight of benzyl alcohol and from 0.01 to 10% by weight of tannin.
44. The method according to claim 1, wherein said composition comprises at least one additive selected from the group consisting of monohydric or polyhydric alcohols having from 2 to 10 carbon atoms, emulsifiers, stabilizers, antioxidants, preservatives, solvents and/or carriers.
45. The method according to claim 1, wherein said composition contains GRAS flavoring agents.
46. The method according to claim 1, wherein said articles are selected from air filters, wool and cotton.

PATENT
ATTORNEY DOCKET NO. VON KREISLER.022

IMPREGNATION METHOD

5 The present invention relates to a method for the impregnation, incorporation or surface treatment of microbially degradable, contaminatable and/or perishable or parasite-attacked substances/objects, comprising the application of a specific antimicrobial/antiparasitic composition to the substances/objects, or the incorporation of the antimicrobial/antiparasitic composition into the substances, to said specific antimicrobial/antiparasitic compositions, their use for the impregnation or surface treatment of microbiologically degradable, contaminatable and/or perishable or parasite-attacked substances, and their use in substances and products which have to be self-decontaminating.

10 The impregnation and surface treatment of microbiologically and parasite-sensitive, *i.e.*, contaminatable, degradable and/or perishable substances and objects is a fundamental problem in the industrial processing of such substances and objects (such as wood/timber and wood products, textiles and
15 textile raw materials, and plastics, insulation and sealant materials prone to germ contamination). Also, the self-decontamination of cleaning agents or body care agents, *e.g.*, deodorants, with harmless substances is still decidedly problematic.

Today, a microbiological or parasite attack is controlled exclusively by "toxic" methods, *i.e.*, with bactericidal, fungicidal, virucidal, sporicidal, insecticidal substances, which are highly toxic to a major part thereof, however, so that the persons which come into contact with the thus treated products are
5 endangered. In addition, there are also problems with the disposal of products, substances and objects treated with such toxic substances.

It has been the object of the present invention to provide an impregnation or surface treatment method which is not subject to the disadvantages of the prior
10 art.

Surprisingly, it has now been found that specific antimicrobial compositions which contain two or more GRAS (generally recognized as safe) flavoring agents (such as those known from WO 96/29895 and 98/58540 as processing
15 aids and additives for foodstuffs) also have very good fungicidal and antiparasitic properties. Based on this finding, it was further found that these compositions are very suitable for the impregnation and surface treatment of microbially degradable, contaminatable and/or perishable subjects/objects, and for incorporation into such substances/objects without resulting in the toxicity
20 problem of the conventional impregnation, surface treatment or incorporation agents. By the incorporation, a decontaminating effect of the product (if any) can be enhanced, and thus the toxic substances previously used for this purpose can be replaced. Especially, it was found that the compositions

containing benzyl alcohol have particularly high antimicrobial and antiparasitic activities.

Thus, the present application relates to:

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(1) a method for the impregnation and treatment of microbially degradable, contaminatable and/or perishable substances or parasite-attacked substances, comprising

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– the distribution or application of an antimicrobial/antiparasitic composition to the surface of the degradable, contaminatable and/or perishable substances; and or

15

– the incorporation of said antimicrobial/antiparasitic composition into said degradable, contaminatable and/or perishable substances;

said antimicrobial composition containing at least two GRAS (generally recognized as safe) flavoring agents;

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(2) a preferred embodiment of the method as defined in (1) wherein said antimicrobial/antiparasitic composition contains one or more GRAS (generally recognized as safe) flavor alcohols or their derivatives (a) and one or more

flavoring agents selected from polyphenol compounds (b) and GRAS flavor acids or their derivatives (c);

(3) another preferred embodiment of the method as defined in (1) wherein said antimicrobial/antiparasitic composition contains

(i) at least one lipophilic GRAS (generally recognized as safe) flavoring agent; and

(ii) at least one hydrophilic GRAS flavoring agent;

(4) a preferred embodiment of the method as defined in (1) to (3) wherein said antimicrobial/antiparasitic composition contains the GRAS flavor alcohol benzyl alcohol as a necessary component;

(5) a composition for the impregnation or surface treatment of microbially degradable, contaminatable and/or perishable substances/objects or parasite-attacked substances/objects (*i.e.*, an impregnation agent) comprising an antimicrobial/antiparasitic composition as defined in (1) to (4);

(6) a composition for incorporation into microbiologically degradable, contaminatable and/or perishable substances/objects or into

The term “impregnation, incorporation or surface treatment” when relating to the wood includes spraying directly after the felling of the trees, spraying during comminuting (sawing and shaping) by continuous addition to the comminuting machine, treatment of the comminuted material, e.g., during shipping, pressure impregnation of the processed product, and the long-term care by applying oils and paints. With wood pulps and papers, “impregnation” means the treatment of the product during processing, e.g., by addition during the preparation of such products, and an initial surface treatment during the installation of the equipment. In addition, for example, with air filters, the service life can also be further extended by a later surface treatment. Coatings of natural and/or chemical materials can be impregnated either by adding the impregnation agent during the preparation process or by a later surface treatment. In addition, “impregnation” within the meaning of the present invention may also mean the addition of the antimicrobial composition to paints and lacquers. Surface disinfection or impregnation is effected, in particular, by spraying,

dipping, nebulizing, scouring and wiping, which may be with or without pressure, at room temperature or hot.

In the following, the substances which can be employed according to the invention, are further described in more detail:

The GRAS flavoring agents, GRAS flavor alcohols and GRAS flavor acids mentioned above in (1) to (4) are recognized by the FDA authority as commercially safe for use in foods (GRAS = generally recognized as safe in food). The mentioned GRAS flavoring agents are the compounds mentioned in the FEMA/FDA GRAS Flavour Substances Lists GRAS 3-15 Nos. 2001-3815 (as of 1997). This list contains natural and naturally occurring synthetic flavoring agents approved by the American public health authority, FDA, for use in foodstuffs: FDA Regulation 21 CFR 172.515 for naturally occurring synthetic flavoring agents (Synthetic Flavoring Substances and Adjuvants) and FDA Regulation 21 CFR 182.20 for natural flavoring agents (Natural Flavoring Substances and Adjuvants). Suitable GRAS flavoring agents according to the present invention include, for example, (a) GRAS flavor alcohols or their derivatives, (b) polyphenol compounds. (c) GRAS flavor acids or their derivatives, (d) phenols or their derivatives, (e) esters, (f) terpenes, (g) acetals, (h) aldehydes and (i) essential oils.

In detail, the following GRAS flavor alcohols (a) may be employed, for example:

benzyl alcohol, acetoin (acetylmethylcarbinol), ethyl alcohol (ethanol), propyl alcohol (1-propanol), isopropyl alcohol (2-propanol, isopropanol), propylene glycol, glycerol, n-butyl alcohol (n-propyl carbinol), iso-butyl alcohol (2-methyl-1-propanol), hexyl alcohol (hexanol), L-menthol, octyl alcohol (n-octanol), cinnamyl alcohol (3-phenyl-2-propene-1-ol), α -methylbenzyl alcohol (1-phenyl-ethanol), heptyl alcohol (heptanol), n-amyl alcohol (1-pentanol), iso-amyl alcohol (3-methyl-1-butanol), anisalcohol (4-methoxybenzyl alcohol, p-anisalcohol), citronellol, n-decyl alcohol (n-decanol), geraniol, β , γ -hexenol (3-hexenol), lauryl alcohol (dodecanol), linalool, nerolidol, nonadienol (2,6-nonadiene-1-ol), nonyl alcohol (nonanol-1), rhodinol, terpineol, borneol, cineol (eucalyptol), anisole, cuminyl alcohol (cuminol), 10-undecene-1-ol, 1-hexadecanol. As derivatives, both natural and synthetic (naturally occurring or not) derivatives can be employed. Suitable derivatives include, for example, the esters, ethers and carbonates of the above mentioned GRAS flavor alcohols. Particularly preferred GRAS flavor alcohols are the aromatic GRAS flavor alcohols of the above list (benzyl alcohol being particularly preferred), 1-propanol, glycerol, propylene glycol, n-butyl alcohol, citronellol, hexanol, linalool, acetoin and their derivatives.

As component (b), the following polyphenols may be employed:

catechol, resorcinol, hydroquinone, phloroglucinol, pyrogallol, cyclohexane, resveratrol, usnic acid, acylpolyphenols, lignins, anthocyan, flavones, catechols, gallic acid derivatives (e.g., tannins, gallotannin, tannic acids, gallotannic acids), carnosol, carnosolic acid (including their derivatives, such as (2,5-dihydroxy-phenyl)carboxylic and (2,5-dihydroxyphenyl)alkylenecarboxylic substitutions, salts, esters, amides); caffeic acid and its esters and amides, flavonoids (e.g., flavone, flavonol, isoflavone, gossypetin, myricetin, robinetin, apigenin, morin, taxifolin, eriodictyol, naringin, rutin, hesperidin, troxerutin, chrysin, tangeritin, luteolin, catechols, quercetin, fisetin, kaempferol, galangin, rotenoids, aurones, flavonols, diols), extracts, e.g., from Camellia, Primula. Further, their possible derivatives, e.g., salts, acids, esters, oxides and ethers, may also be used. A particularly preferred polyphenol is tannin (a GRAS compound).

As component (c), the following GRAS acids may be used, for example: acetic acid, aconitic acid, adipic acid, formic acid, malic acid (1-hydroxysuccinic acid), capronic acid, hydrocinnamic acid (3-phenyl-1-propionic acid), pelargonic acid (nonanoic acid), lactic acid (2-hydroxypropionic acid), phenoxyacetic acid (glycolic acid phenyl ether), phenylacetic acid (α -toluenic acid), valeric acid (pentanoic acid), iso-valeric acid (3-methylbutyric acid), cinnamic acid (3-phenylpropenoic acid), citric acid, mandelic acid (hydroxyphenylacetic acid), tartaric acid (2,3-dihydroxybutanedioic acid; 2,3-dihydroxysuccinic acid), fumaric acid, tannic acid and their derivatives.

Suitable derivatives of the GRAS flavor acids according to the present invention are esters (e.g., C₁₋₆ alkyl esters and benzyl esters), amides (including N-substituted amides) and salts (alkali, alkaline earth and ammonium salts) of the above mentioned acids. According to the present invention, the term "derivatives" also encompasses modifications of the side-chain hydroxy functions (e.g., acyl and alkyl derivatives) and modifications of the double bonds (e.g., the perhydrogenated and hydroxylated derivatives of the mentioned acids).

As component (d), the following phenol compounds may be employed: thymol, methyleugenol, acetyleneugenol, safrol, eugenol, isoeugenol, anethole, phenol, methylchavicol (estragol; 3-(4-methoxyphenyl)-1-propene), carvacrol, α -bisabolol, farnesol, anisole (methoxybenzene), propenylguaethol (5-propenyl-2-ethoxyphenol) and their derivatives. Derivatives within the meaning of the present invention are compounds in which the phenolic hydroxy group is esterified or etherified.

As GRAS esters (component (e)), for example, allicin and the following acetates may be used: iso-amyl acetate (3-methyl-1-butyl acetate), benzyl acetate, benzylphenyl acetate, n-butyl acetate, cinnamyl acetate (3-phenylpropenyl acetate), citronellyl acetate, ethyl acetate (acetic ester), eugenol acetate (acetyleneugenol), geranyl acetate, hexyl acetate (hexanyl ethanoate), hydrocinnamyl acetate (3-phenylpropyl acetate), linalyl acetate,

octyl acetate, phenylethyl acetate, terpinyl acetate, triacetin (glyceryl triacetate), potassium acetate, sodium acetate and calcium acetate. Further suitable esters are the ester derivatives of the above defined acids (component (c)).

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As terpenes (component (f)), there may be used, for example, camphor, limonene and β -caryophyllene.

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The acetals (component (g)) which can be used include, e.g., acetal, acetaldehyde dibutyl acetal, acetaldehyde dipropyl acetal, acetaldehyde phenethyl propyl acetal, cinnamic aldehyde ethylene glycol acetal, decanal dimethyl acetal, heptanal dimethyl acetal, heptanal glyceryl acetal and benzaldehyde propylene glycol acetal.

15

As aldehydes (component (h)), there may be used, e.g., acetaldehyde, anisaldehyde, benzaldehyde, iso-butyl aldehyde (methyl-1-propanal), citral, citronellal, n-caprylic aldehyde (n-decanal), ethylvanillin, furfural, heliotropin (piperonal), heptyl aldehyde (heptanal), hexyl aldehyde (hexanal), 2-hexenal (β -propylacrolein), hydrocinnamic aldehyde (3-phenyl-1-propanal), lauryl aldehyde (dodecanal), nonyl aldehyde (n-nonanal), octyl aldehyde (n-octanal), phenylacetaldehyde (1-oxo-2-phenylethane), propionaldehyde (propanal), vanillin, cinnamic aldehyde (3-phenylpropenal), perillaldehyde and cuminaldehyde.

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The following essential oils and/or alcoholic or glycolic extracts or extracts obtained by CO₂ high-pressure processes from the mentioned plants (component (i)) can also be employed according to the invention:

- 5 (i1) oils or extracts having a high content of alcohols: melissa, coriander, cardamon, eucalyptus;
- (i2) oils or extracts having a high content of aldehydes: Eucalyptus citriodora, cinnamon, lemon, lemon grass, melissa, citronella, lime, orange;
- (i3) oils or extracts having a high content of phenols: organum, thyme,
- 10 rosemary, orange, clove, fennel, camphor, mandarin, anise, cascarilla, estragon and pimento;
- (i4) oils or extracts having a high content of acetates: lavender;
- (i5) oils or extracts having a high content of esters: mustards, onion, garlic;
- (i6) oils or extracts having a high content of terpenes: pepper, bitter orange,
- 15 caraway, dill, lemon, peppermint, nutmeg.

A preferred embodiment of the antimicrobial/antiparasitic composition (1) contains at least one GRAS flavor alcohol (a), especially benzyl alcohol. Preferred are those compositions which contain less than 50% by weight,

20 preferably less than 30% by weight, more preferably less than 20% by weight, of ethanol, isopropanol or benzyl alcohol or a mixture of these substances.

In another preferred embodiment of the present invention, the antimicrobial/antiparasitic composition contains at least one hydrophilic alcoholic GRAS flavoring agent and/or one hydrophilic non-alcoholic GRAS flavoring agent.

The proportion of hydrophilic alcoholic GRAS flavoring agents may be up to 99% by weight of the composition and is preferably from 30 to 98% by weight, more preferably from 80 to 95% by weight. The proportion of hydrophilic non-alcoholic GRAS flavoring agents in the insecticidal composition may be up to 90% by weight and is preferably from 0.1 to 50% by weight. Preferred are those compositions which further contain benzyl alcohol and/or a polyphenol compound (b1) in addition to the mentioned hydrophilic compounds.

Said hydrophilic alcoholic GRAS flavoring agents are monohydric or polyhydric alcohols having from 2 to 10, preferably from 2 to 7, carbon atoms. Particularly preferred compounds include 1-propanol, glycerol, propylene glycol and acetoin. Hydrophilic non-alcoholic GRAS flavoring agents are selected from organic acids having from 1 to 15 carbon atoms and physiologically acceptable salts thereof, hydrophilic acetates and hydrophilic aldehydes. Preferred organic acids include those which contain from 2 to 10 carbon atoms, especially acetic acid, aconitic acid, formic acid, malic acid, lactic acid, phenylacetic acid, citric acid, mandelic acid, tartaric acid, fumaric acid, tannic acid, hydrocinnamic acid and their physiologically acceptable salts. Said hydrophilic acetate is preferably selected from allicin, triacetin, potassium

acetate, sodium acetate and calcium acetate, and said hydrophilic aldehyde is preferably selected from furfural, propionaldehyde and vanillin.

A further preferred antimicrobial/antiparasitic composition is the composition
5 stated above under (2). This composition may contain:

from 0.1 to 99.9% by weight, preferably from 0.5 to 99% by weight, of
component (a);

from 0 to 25% by weight, preferably from 0.01 to 10% by weight, of component
(b); and

10 from 0 to 70% by weight, preferably from 0.01 to 30% by weight, of component
(c).

In this embodiment of the invention, component (a) contains one or more
GRAS flavor alcohols. Preferred is the use of two or three GRAS flavor
15 alcohols. The mixing ratio of component (a) to component (b) is preferably
between 10,000 : 1 and 1 : 10,000, more preferably between 1000 : 1 and 1 :
1000, and still more preferably between 100 : 1 and 1 : 100.

In a particularly preferred embodiment of the method according to the
20 invention, said antimicrobial/antiparasitic composition contains
(a1) benzyl alcohol as a necessary component and optionally
(a1) one or more further GRAS flavor alcohols or their derivatives; and
(b) one or more polyphenol compounds; and/or

(c) one or more GRAS acids or their derivatives.

Suitable amounts of components (a1), (a2), (b) and (c) in this case are:

from 0.1 to 99% by weight, preferably from 0.1 to 75% by weight, of benzyl
5 alcohol;

from 0 to 99.8% by weight, preferably from 0.01 to 99% by weight, of
component (a2);

from 0 to 25% by weight, preferably from 0.01 to 10% by weight, of component
(b); and/or

10 from 0 to 70% by weight, preferably from 0.01 to 30% by weight, of component
(c).

In these compositions, particularly preferred are those which necessarily
contain a polyphenol compound (b) and optionally contain one or more further
15 GRAS acids (c).

A particularly preferred antimicrobial/antiparasitic composition may further
contain the GRAS flavor agents (d) to (i) mentioned above.

20 The proportion of components (d) to (i) in the antimicrobial/antiparasitic
composition is preferably equal to or smaller than 25% by weight, preferably
within a range of from 0.001 to 9% by weight. Preferred among the further
GRAS flavoring agents are the phenols (d) and the essential oils (i).

In the composition employed in embodiment (3) of the method according to the invention, the lipophilic GRAS flavoring agents are preferably selected from (a₁) lipophilic GRAS flavor alcohols or their derivatives, (b) polyphenol compounds, (c₁) lipophilic GRAS flavor acids or their derivatives, (d) phenols or their derivatives, (e₁) lipophilic esters, (f) terpenes, (g) acetals, (h₁) lipophilic aldehydes and (i) essential oils. The antimicrobial composition preferably contains two of the mentioned GRAS flavoring agents.

Suitable lipophilic GRAS flavor alcohols (a₁) among the above defined alcohols (a) include, in particular:

aromatic GRAS flavor alcohols, including benzyl alcohol, 2-phenylethanol, 1-phenylethanol, cinnamyl alcohol, hydrocinnamyl alcohol, 1-phenyl-1-propanol and anisalcohol, and aliphatic GRAS flavor alcohols, including n-butyl alcohol, iso-butyl alcohol, hexyl alcohol, L-menthol, octyl alcohol, heptyl alcohol, n-amyl alcohol, iso-amyl alcohol, anisalcohol, citronellol, n-decyl alcohol, geraniol, β , γ -hexenol, lauryl alcohol, linalool, nerolidol, nonadienol, nonyl alcohol, rhodinol, terpineol, borneol, cineol, anisole, cuminyl alcohol, 10-undecene-1-ol and 1-hexadecanol and their derivatives. The aromatic GRAS flavor alcohols, especially benzyl alcohol, are preferred.

According to the present invention, the hydrophilic GRAS flavoring agent is a hydrophilic alcoholic GRAS flavoring agent (a_n) or a hydrophilic non-alcoholic GRAS flavoring agent, wherein said hydrophilic alcoholic GRAS flavoring agent

(a_h), as mentioned above, is preferably a monohydric or polyhydric alcohol having from 2 to 10, preferably from 2 to 7, carbon atoms, which is more preferably selected from acetoin, ethyl alcohol, propyl alcohol, iso-propyl alcohol, propylene glycol and glycerol. Said hydrophilic non-alcoholic GRAS
 5 flavoring agent is preferably a hydrophilic organic GRAS flavor acid (c_h) having from 1 to 15 carbon atoms or a physiological salt thereof, a hydrophilic acetate (e_h) or a hydrophilic aldehyde (h_h). Preferred hydrophilic organic acids (c_h) include those which contain from 2 to 10 carbon atoms, especially acetic acid, aconitic acid, formic acid, malic acid, lactic acid, phenylacetic acid, citric acid,
 10 mandelic acid, tartaric acid, fumaric acid, tannic acid, hydrocinnamic acid and their physiological salts. Said hydrophilic acetate (e_h) is preferably allyl acetate, triacetin, potassium acetate, sodium acetate and calcium acetate. Said hydrophilic aldehyde (h_h) is preferably selected from furfural, propionaldehyde and vanillin.

15 The lipophilic polyphenol compound (b), phenols or their derivatives (d), terpenes (f), acetals (g) and essential oils (i) in the composition of method (3) are preferably the above defined compounds (b), (d), (f), (g) and (i). The lipophilic GRAS flavor acids or their derivatives (c_l), lipophilic esters (e_l) and
 20 lipophilic aldehydes include all specifically mentioned acids, esters and aldehydes, except for the compounds (c_h), (e_h) and (h_h) specifically mentioned above.

In a preferred embodiment of method (3), the antimicrobial/antiparasitic composition contains either:

(i) two lipophilic GRAS flavor alcohols (a), but no benzyl alcohol and no polyphenol compounds (b); or

(ii) benzyl alcohol and/or a polyphenol compound (b), but no further GRAS flavor alcohols.

It is particularly preferred for the antimicrobial/antiparasitic composition to contain exclusively non-alcoholic hydrophilic GRAS flavoring agents, especially exclusively a hydrophilic GRAS flavor acid (c_n), and for the antimicrobial/antiparasitic composition to contain from 0.01 to 99% by weight, preferably from 0.1 to 90% by weight, of benzyl alcohol or polyphenol compounds (b) and from 0.01 to 50% by weight, preferably from 0.1 to 30% by weight, of hydrophilic non-alcoholic GRAS flavoring agents.

In a further preferred embodiment of method (1), the antimicrobial/antiparasitic composition contains:

(A) one or more GRAS flavor alcohols (a) or their derivatives; and

(B) one or more flavoring agents selected from polyphenol compounds (b) and lipophilic GRAS flavor acids or their derivatives (c).

It is preferred for this composition to contain from 0.1 to 99% by weight, preferably from 0.5 to 99% by weight, of component (a), from 0 to 25% by

weight, preferably from 0.01 to 10% by weight, of component (b), and from 0 to 70% by weight, preferably from 0.01 to 30% by weight, of component (c).

In addition, the antimicrobial/antiparasitic composition may contain further GRAS flavoring agents selected from (d) phenols or their derivatives, (e)₁ lipophilic esters, (f) terpenes, (g) acetals, (h)₁ lipophilic aldehydes and (i) essential oils.

It is further preferred for component (A) of the antimicrobial/antiparasitic composition to contain benzyl alcohol as a necessary component (a₁) and additionally one or more further lipophilic GRAS flavor alcohols or their derivatives (a). Preferably, this antimicrobial composition contains: from 0.1 to 99% by weight, preferably from 0.1 to 75% by weight, of benzyl alcohol; from 0 to 99.8% by weight, preferably from 0.01 to 99% by weight, of component (a)₁; and from 0 to 25% by weight, preferably from 0.01 to 10% by weight, of component (b); from 0 to 70% by weight, preferably from 0.01 to 30% by weight, of component (c).

The composition employed may contain further lipophilic GRAS flavoring agents (d) to (i) as defined above, preferably from 0.001 to 25% by weight,

more preferably from 0.01 to 9% by weight, of said further GRAS flavoring agents (d) to (i). Said further lipophilic GRAS flavoring agents more preferably include phenols (d) and/or essential oils (i).

5 In a further particularly preferred embodiment of method (3), component (A) of the antimicrobial/antiparasitic composition consists of two lipophilic GRAS flavor alcohols, and component (B) contains at least one polyphenol compound (b). Said polyphenol compound (b) is preferably tannin, particularly preferred being a composition which contains from 20 to 98% by weight of benzyl alcohol
10 and from 0.01 to 10% by weight of tannin.

Particularly preferred according to the present invention are those antimicrobial/ antiparasitic compositions in which antimicrobially/antiparasitically active component exclusively consists of GRAS
15 flavoring agents, *i.e.*, does not contain any "derivatives" of the GRAS flavoring agents. As an example of such a composition, there may be mentioned a mixture of benzyl alcohol, one or two of the above mentioned GRAS flavor alcohols (a2) and tannin. Such mixture preferably contains from 0.1 to 98% by weight of benzyl alcohol and from 0.01 to 10% by weight of tannin. Another
20 example of a preferred composition is a mixture of 2 alcohols, a polyphenol (especially tannin) and an essential oil (especially a phenolic essential oil, component (i3)).

In addition to components (a) to (i), the antimicrobial/insecticidal compositions may additionally contain further compounds (j), such as alcohols (j1), emulsifiers (j2), stabilizers (j3), antioxidants (j4), preservatives (j5), solvents (j6), carriers (j7) etc.

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The proportion of components (j) in the antimicrobial/antiparasitic composition may be up to 95% by weight, is preferably lower than 10% by weight, and is preferably within a range of from 0.1 to 5% by weight.

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According to the invention, the alcohols (j1) are monohydric or polyhydric alcohols having from 2 to 10 carbon atoms, preferably from 2 to 7 carbon atoms, not including the GRAS alcohols (a). Preferably, such amounts of GRAS flavor alcohols (a) and further alcohols (j1) are employed that their mixing ratio is between 1000 : 1 and 1 : 1000, especially between 100 : 1 and 1 : 100, more preferably between 10 : 1 and 1 : 10.

15

It is particularly preferred in the method according to the present invention to use systems which exclusively consist of GRAS flavoring agents, especially when the treated products come into contact with foodstuffs, because this also prevents contamination of the foodstuffs with non-GRAS compounds. Further, it should be taken care that the antimicrobial composition is free of ethanol and isopropanol, or free of noxious doses of ethanol and isopropanol, since these

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substances can be inhaled by the persons who effect the impregnation. In addition, there may be a danger of explosion when these compounds are used.

Finally, the invention also relates to substances/objects/products which have been surface-treated by the method according to the invention or into which the anti-microbial/antiparasitic composition has been incorporated.

The method according to the invention can provide an effective protection against the microorganisms or parasites described in the following:

Molds: mildew species, rust fungi, leaf spot fungi, *Fusarium* species, *Aspergillus* species, *Penicillium* species, *Rhizoctonia*, *Peronospora*, *Phytophthora*, *Botrytis cinerea*, *Rhizoctonia solani*, *Aspergillus ocraceus*, *Aspergillus niger*, *Clavosporium fusarium*, *Penicillium*.

Parasites: Lepidoptera (*Chilo suppressalis*, *Chaphalocrosis medinalis*, *Ostrina nubialis*), *Myzus persicae*, (jumping) insects, cigarette beetles, mites, plant lice, flies, moths.

Viruses: tomato mosaic virus, X virus, Y virus, rice stripe virus, TYM virus, Rhizomania, BNYVV.

Special fungi/parasites which can be suppressed by the method according to the invention are summarized in the following Table:

Name	Former and English names	Significance
<i>Amylostereum areolatum</i>	<i>Stereum areolatum</i>	red streakiness
<i>Antrodia vaillantii</i>	<i>Poria vaillantii</i> Broad-spored white polypore	most frequent pore house fungus
<i>Armillaria mellea</i>	Honey mushroom	parasite
<i>Aspergillus niger</i>	Black mold, Black aspergillus	"black mold"
<i>Aspergillus flavus</i>		aflatoxins
<i>Aureobasidium pullulans</i>	<i>Pullularia pullulans</i>	blue staining of paints
<i>Bispora antennata</i>	<i>Bispora monilioides</i>	"black streakiness"
<i>Ceratocystis fagacearum</i>		oak wilt
<i>Ceratocystis fimbriata f. platani</i>		plane canker stain
<i>Chaetomium globosum</i>		soft rot, test fungus
<i>Chlorociboria aeruginascens</i>	<i>Chlorosplenium aeruginascens</i> , Green wood cup fungus	"green stain"
<i>Cladosporium spp.</i>		blue stain on cut wood
<i>Coniophora puteana</i>	<i>Coniophora cerebella</i> Cellar fungus	test fungus EN 113
<i>Daedalea quercina</i>	<i>Lenzites quercina</i> Thick-maze oak polypore	heart wood degradation of oaks
<i>Discula pinicola</i> <i>phonectria parasitica</i>	<i>Endothia parasitica</i>	chestnut blight
<i>Fomes fomentarius</i>	<i>Polyporus fomentarius</i> Tinder fungus	parasite
<i>Gloeophyllum abietinum</i>	<i>Lenzites abietina</i>	window wood destroyer
<i>Gloeophyllum sepiarium</i>	<i>Lenzites sepiaria</i> Yellow-red gill polypore	

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<i>Gloeophyllum trabeum</i>	<i>Lenzites trabea</i>	test fungus EN 113
<i>Heterobasidion annosum</i>	<i>Fomes annosus</i> Root fomes	root and butt rot
<i>Laetiporus sulphureus</i>	<i>Polyporus sulphureus</i> Sulphur fungus	parasite
<i>Lentinus lepideus</i>	Train wrecker	Tar-oil resistance, EN 113
<i>Meripilus giganteus</i>	Giant polypore	parasite of road trees
<i>Nectria coccinea</i>		beech bark disease
<i>Ophiostoma minus</i>	<i>Ceratocystis minor</i>	blue stain
<i>Ophiostoma piceae</i>	<i>Ceratocystis piceae</i>	blue stain
<i>Ophiostoma ulmi</i>	<i>Ceratocystis ulmi</i>	Dutch elm disease
<i>Paxiullus panuoides</i>		
<i>Paecilomyces variotii</i>		mine timber destroyer
<i>Penicillium spp.</i>	penicillium mold	Soft rot
<i>Phaeolus spadiceus</i>	<i>Phaeolus schweinitzii</i> Velvet-top fungus	parasite
<i>Phanerochaete chrysosporium</i>	amorphous: <i>Sporotrichum pulverulentum</i>	lignin degradation
<i>Phellinus igniarius</i>	<i>Fomes igniarius</i> False tinder fungus	parasite
<i>Phellinus pini</i>	<i>Trametes pini</i> Ring scale fungus	parasite
<i>Phlebiopsis gigantea</i>	<i>Phanerochaete gigantea</i>	biological forest protection
<i>Piptoporus betulinus</i>	<i>Polyporus betulinus</i> Birch polypore	parasite
<i>Polyporus squamosus</i>	Scaly fungus	
<i>Schizophyllum commune</i>	Split gill fungus	parasite
<i>Serpula lacrymans</i>	<i>Merulius lacrymans</i> Tear fungus, House fungus	"Genetics wood fungi"
<i>Serpula himantoides</i>	<i>Merulius silvester</i>	
<i>Sparassis crispa</i>	Cauliflower fungus	

<i>Stereum sanguinolentum</i>	bleeding fungus	parasite red heart rot, red streakiness
<i>Trametes versicolor</i>	<i>Coriolus versicolor</i> Turkey-tail fungus	simultaneous rot
<i>Trichaptum abietinum</i>	<i>Hirschioporus abietinus</i>	test fungus EN 113 red streakiness
<i>Trichoderma viride</i>	(green mold)	
<i>Tyromyces placenta</i>	<i>Postia</i> , <i>Oligoporus placenta</i>	cellulases test fungus EN 113
<i>Xylobolus frustulatus</i>	<i>Stereum frustulosum</i>	"partridge wood"

Culture/object

pest/organism/purpose

softwood/hardwood	freely eating Lepidoptera caterpillars
hardwood	Browntail moth
softwood/hardwood	Gypsy moth
softwood/hardwood	Nun moth
softwood	Pine moth
softwood	<i>Zeiraphera rufimitrana</i> leaf roller
softwood	Large brown pine weevil
softwood/hardwood	wood-dwelling bark beetle
softwood/hardwood	bark-dwelling bark beetle
softwood	Apple rust mite

In addition to the above defined antimicrobial composition, the composition for impregnation according to the invention may also contain colorants, such as dyes and pigments, dispersants, solvents, hardeners, natural wood-protection

biocides. Such natural wood-protection biocides and their preferred maximum content in the compositions according to the invention are shown in the following Table:

	<u>Biocides employed</u>	<u>max. content in %</u>
5	beech tar oil	29
	oak bark	1.0
	spruce distillate	—
	galanga root	1.0
	guaiac wood	1.0
10	wood vinegar	10
	softwood tar	12.0
	neem bark	—
	clove oil	—
	oreganum	1.0
15	juniper wood	1.0
	winter green oil	

The proportion of the antimicrobial/antiparasitic composition in the composition for impregnation, surface treatment or incorporation is from 0.001 to 99% by weight, preferably from 0.1 to 10% by weight.

An antimicrobial effect can be observed when the content of the antimicrobial/antiparasitic composition is from 0.001 to 100 mg/g, preferably from 0.1 to 50 mg/g (for incorporation or impregnation), or from 0.1 to 50 g/m² (for surface treatment) of treated substrate.

PPA III:

benzyl alcohol	50%	a1
propylene glycol	50%	b1

5 PPA IV:

a) benzyl alcohol	50%	a1
polyphenol (tannin)	50%	b1

10

b) benzyl alcohol	50%	a1
polyphenol (tannin)	25%	b1
lactic acid	25%	b2

15

c) benzyl alcohol	25%	a1
propylene glycol	50%	a2
polyphenol (tannin)	25%	b1

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d) benzyl alcohol	25%	a1
propylene glycol	25%	a2
polyphenol (tannin)	25%	b1
lactic acid	25%	b2

PPA V:

a) propylene glycol	25%	a2
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	glycerol	25%	a2
	lactic acid	25%	b2
	tannin	25%	b1
5	b) propylene glycol	50%	a2
	glycerol	25%	b2
	lactic acid	25%	b2
	c) propylene glycol	50%	a2
10	glycerol	25%	b2
	tannin	25%	b1

Example 1: Surface self-decontamination

	Application:	treatment of working surfaces, conveying belts, <i>etc.</i>
15	Food product:	e.g., meat
	Problem:	germ increase due to growing biomass
	Dosage:	spray on surfaces in neat form

	Performance	(on stainless steel working desk)
20	1. Clean and disinfect working surface (with alcohol 70%)	
	2. Spray on PPA I and squeegee	
	3. Contaminate with raw meat	
	4. Spray on PPA I and squeegee	

5. Take sample.

This process is performed in five periods with intervals of 15 min.

Bacteriology: The following germs/groups of germs are isolated or differentiated by official examination methods according to Section 35 LMBG (German Food and Consumer Goods Act): Total germ count, Enterobacteriaceae, lactobacilli.

Sampling: between the treatment periods.

Evaluation

Test object: V₂A steel surface which was contaminated with a neck chop at regular intervals;

Control: before start of experiment: cleaning of the table and disinfection with 70% alcohol;
V₂A steel surface was wiped with a neck chop at 15 min intervals to build up a biomass. From the 2nd interval, the meat was sprayed with water;

PPA: spraying of the test surface with PPA I after cleaning and disinfection, wipe off with squeegee;
After contamination with the neck chop, the surface was sprayed with PPA and squeegeed, followed by taking the sample;

Immediately thereafter, the surface was recontaminated
and squeegeed;

Test method: a surface area of 100 cm² was covered by means of a
smear;

5 Results: *see below*

Comments: in combination with the application technique, PPA I is
capable of reducing the total germ count by 10⁵,
Enterobacteriaceae by 10² and lactobacilli by 10⁵ on
contaminated surfaces, *i.e.*, a reduction factor of 5 for total
10 germ count and lactobacilli.

Examination results

	total germ count/smear	Enterobacteria- ceae/smear	lactobacilli/ smear
1 st contamination without squeegeeing	6.7 x 10 ⁴	20	6.6 x 10 ⁴
6 th contamination after squeegeeing	2.3 x 10 ³	—	2.3 x 10 ³
control without PPA I immediately	3.9 x 10 ⁵ 6.1 x 10 ³	5.5 x 10 ² —	3.8 x 10 ⁵ 5.3 x 10 ³
after 15 minutes	7.4 x 10 ³ 1.43 x 10 ⁴	— —	4.3 x 10 ³ 1.36 x 10 ⁴
after 30 minutes	3.2 x 10 ³ 1.29 x 10 ⁴	— 10	2.1 x 10 ³ 1.09 x 10 ⁴
after 45 minutes	6.4 x 10 ³ 8.1 x 10 ³	— —	3.8 x 10 ³ 6.8 x 10 ³
after 60 minutes	7.8 x 10 ³ 3.6 x 10 ⁴	— 50	6.1 x 10 ³ 3.6 x 10 ⁴
after 75 minutes	7.6 x 10 ³ 1.93 x 10 ⁴	— —	7.4 x 10 ³ 1.82 x 10 ⁴
after 90 minutes	5.8 x 10 ³ 1.25 x 10 ⁴	— —	5.3 x 10 ³ 1.14 x 10 ⁴
control with PPA immediately	10 —	— —	— —
after 15 minutes	— —	— —	— —
after 30 minutes	— —	— —	— —
after 45 minutes	— —	— —	— —
after 60 minutes	— —	— —	— —
after 75 minutes	— —	— —	— —
after 90 minutes	— —	— —	— —

Example 2: Quantitative suspension experiment according to DVG (German veterinary association) regulations

Product PPA I

- 5 Efficiency test: impregnation, surface treatment, incorporation,
decontamination, self-decontamination, e.g., deodorant, paints, lacquers,
lubricants, detergents, hygienic agents

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Test strain (cfu/ml)	conc. in volume%	time of action 60 min	control	log RF
<i>E. coli</i> (1.1×10^9)	8	0	980,000	> 4.99
		0	980,000	
		0	980,000	
	10	0	980,000	> 4.99
		0	980,000	
		0	980,000	
	12	0	980,000	> 4.99
		0	980,000	
		0	980,000	
	8	0	2,800,000	> 5.45
		0	2,800,000	
		0	2,800,000	
<i>Pa. fluorescens</i> (1.7×10^9)	8	0	2,800,000	> 5.45
		0	2,800,000	
		0	2,800,000	
	10	0	2,800,000	> 5.45
		0	2,800,000	
		0	2,800,000	
	12	0	2,800,000	> 5.45
		0	2,800,000	
		0	2,800,000	

5	<i>Staph. aureus</i> (2.9×10^9)	8	0 0 0	1,250,000 1,250,000	> 5.10
		10	0 0 0	1,250,000 1,250,000	> 5.10
		12	0 0 0	1,250,000 1,250,000	> 5.10
	<i>Salm. enteritidis</i> (1.7×10^9)	8	0 0 0	1,600,000 1,600,000	> 5.20
		10	0 0 0	1,600,000 1,600,000	> 5.20
		12	0 0 0	1,600,000 1,600,000	> 5.20
	<i>List. monocytogenes</i> (1.5×10^9)	8	0 0 0	2,050,000 2,050,000	> 5.31
		10	0 0 0	2,050,000 2,050,000	> 5.31
		12	0 0 0	2,050,000 2,050,000	> 5.31
	<i>Lactob. brevis</i> (9.3×10^8)	8	1.540 1.760 1.650	1,140,000 1,140,000	> 2.84
		10	0 0 0	1,140,000 1,140,000	> 5.06
		12	0 0 0	1,140,000 1,140,000	> 5.06

Example 3: Product protection of wood with superposed cheese (provocation test)

Product PPA I – surface treatment and impregnation of wood

	un- treated	treated sprayed with PPA I (concentration)	treated soaked with PPA I (concentration)	start mold growth between cheese and wooden board	start mold growth only on wooden board	atmospheric humidity/ temperature
spruce glue wood	X			8 th day after treatment	19 th day after treatment	85%/15°C
spruce glue wood		X (12.7 g/m ²)		–	–	85%/15°C
spruce glue wood			X 60 g/m ²	–	–	85%/15°C
spruce solid wood	X			11 th day after treatment	20 th day after treatment	85%/15°C
spruce solid wood		X (18.5 g/m ²)		–	–	85%/15°C
spruce solid wood			185 g/m ²	–	–	85%/15°C
bankirai	X			6 th day after treatment	11 th day after treatment	85%/15°C
bankirai*		X (5.1 g/m ²)		–	–	85%/15°C

* a tropic wood

All wooden boards treated with PPA had not exhibited any growth of mold by the 35th day after treatment. Thereafter, the experiment was discontinued (end of cheese maturing).

Example 4A: Product protection of wood with superposed cheese
(provocation test)

Product PPA II and III – surface treatment of wood as a function of PPA II and

III concentrations

	un- treated	treated sprayed with PPA I concentration) 100 50	treated soaked with PPA I (concentration)	atmospheric humidity/ temperature
spruce glue wood	X ⁸	– X ¹¹	– X ¹²	85%/15°C
spruce solid wood	X ¹¹	– X ¹³	– X ¹⁸	85%/15°C
bankirai*	X ¹¹	– X ¹⁵	– X ¹⁴	85%/15°C

* a tropic wood

X: Mold growth positive (index indicates on which day mold growth
between the cheese and wooden board occurred);

–: Mold growth negative, no mold growth had occurred by the 35th day after
the treatment. Thereafter, the experiment was discontinued (end of
cheese maturing).

Dosage PPA:

spruce glue wood 100: 15 g/m² of PPA II or III//50: 7.5 g/m² of PPA II or III

spruce solid wood 100: 20 g/m² of PPA II or III//50: 10g/m² of PPA II or III

bankirai 100: 5 g/m² of PPA II or III//50: 2.5 g/m² of PPA II or III

Example 4B: Product protection of wood with superposed cheese
(provocation test)

Product PPA IV – surface treatment of wood

	untreated	treated mold growth PPA IV a a b b c c d d 100 50 100 50 100 50 100 50	atmospheric humidity/ temperature
spruce glue wood	X ⁸	– – – – – – – –	85%/15°C
spruce solid wood	X ¹¹	– – – – – – – –	85%/15°C
bankirai*	X ¹¹	– – – – – – – –	85%/15°C

* a tropic wood

X: Mold growth positive (index indicates on which day mold growth

 between the cheese and wooden board occurred);

–: Mold growth negative, no mold growth had occurred by the 35th day after
 the treatment. Thereafter, the experiment was discontinued (end of
 cheese maturing).

Dosage PPA IV:

spruce glue wood 100: 15 g/m² of IVa, b, c or d//50: 7.5 g/m² of IVa, b, c or d

spruce solid wood 100: 20 g/m² of IV a, b, c or d//50: 10 g/m² of IVa, b, c or d

bankirai 100: 5 g/m² of IVa, b, c or d//50: 2.5 g/m² of IVa, b, c or d

Example 4C: Product protection of wood with superposed cheese
(Provocation test)

Product PPA V – surface treatment of wood

	untreated	treated mold growth						atmospheric humidity/temperature
		PPA V	a	a	b	b	c	c
		100	50	100	50	100	50	
spruce glue wood	X ⁸	–	X ²⁰	–	X ¹⁰	–	X ¹⁴	85%/15°C
spruce solid wood	X ¹¹	–	X ²⁰	–	X ¹⁰	–	X ¹⁴	85%/15°C
bankirai*	X ¹¹	–	X ²⁰	–	X ¹⁰	–	X ¹⁴	85%/15°C

* a tropic wood

X: Mold growth positive (index indicates on which day mold growth

between the cheese and wooden board occurred);

–: Mold growth negative, no mold growth had occurred by the 35th day after the treatment. Thereafter the experiment was discontinued (end of cheese maturing).

Dosage PPA V:

spruce glue wood 100: 15 g/m² of Va, b or c//50: 7.5 g/m² of Va, b or c

spruce solid wood 100: 20 g/m² of Va, b or c//50: 10 g/m² of Va, b or c

bankirai 100: 5 g/m² of Va, b or c//50: 2.5 g/m² of Va, b or c

Example 5: Prevention of mold growth by coating,
illustrated for cheese maturing

5 Application: added to cheese cover agent
Food product: sliceable cheese
Problem: mold growth during cheese maturing
Dosage: 2% by weight ad coating (PPA I)
No. of samples: 10 x 30 g each of O samples and – samples

10
Performance
Simulation in a climatic room for cheese maturing
Temperature: 15°C, rel. humidity about 75%
Treatment of 8 loaves of raw cheese each with neutral or PPA I coating
15 The loaves are turned over every day.

Object/result: Reduction of mold growth as compared to O sample
during maturing

20 Visual check: Mold and yeasts on the cheese surface

Sampling: Visual check for appearance, daily.

Evaluation

Off-storage results in climatic room K 43: 15°C, 75% rel. humidity

Storage of the sliceable goat cheese: July 15, taken from the saline bath

Coating: On July 28 on one side

On August 29 on the opposite side

followed by daily turning over and checking.

Test for mold loading of K 34 using RCS device:

on July 21 210/m³

on July 24 65/m³

Results of serial experiment: visual check for molds

checking day	n = 8, no PPA	n = 8, with PPA
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August 9	1	0
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August 10	4	0
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n = number of cheese loaves

Comments: The untreated and treated cheese loaves (PPA I in coating) were matured under the conditions possible in a climatic room (see test for mold loading). In contrast to the PPA I samples, the O samples exhibited visual mold growth from the 12th maturing day.

PPA I surface treatment – service lives

	Filter	PPA spraying agent	Dosage	Molds Section 35 LMBG*	Bacteria Section 35 LMBG*
F-0		O sample	0	$8 \times 10^5/25 \text{ cm}^2$	$10^4/25 \text{ cm}^2$
F-1			0.1 g/m^2	$7 \times 10^3/25 \text{ cm}^2$	$8 \times 10^1/25 \text{ cm}^2$
F-2			1 g/m^2	$2 \times 10^2/25 \text{ cm}^2$	< 10
F-3			10 g/m^2	< $10/25 \text{ cm}^2$	< 10

* LMBG = German Food and Consumer Goods Act

CLAIMS

1. A method for the impregnation and treatment of microbially degradable,
contaminatable and/or perishable substances/objects or parasite-
attacked substances/objects, wherein said substances/objects are
5 selected from wood/timber and wood products, textiles and textile raw
materials, plastics and rubbers prone to germ contamination, natural
and mineral insulation and sealant materials, construction materials
made of mineral and natural substances, filters, soils and fertilizers,
animal-derived raw materials, paints, lubricants, adhesives, detergents
10 and cleaning agents; comprising

– the distribution or application of an antimicrobial and/or antiparasitic
composition to the surface of the above-mentioned degradable,
contaminatable and/or perishable subjects/objects; and/or

15 – the incorporation of said antimicrobial and/or antiparasitic composition
into said degradable, contaminatable and/or perishable
substances/objects;

20 said antimicrobial and/or antiparasitic composition containing at least
two GRAS (generally recognized as safe) flavoring agents, but wherein
said antiparasitic composition does not exclusively contain cinnamic
aldehyde or a mixture of cinnamic aldehyde and terpenes.

2. The method according to claim 1, wherein said GRAS flavoring agents are selected from (a) GRAS flavor alcohols or their derivatives, (b) polyphenol compounds, (c) GRAS flavor acids or their derivatives, (d) phenols or their derivatives, (e) esters, (f) terpenes, (g) acetals, (h) aldehydes and (i) essential oils.
3. The method according to claim 1 or 2, wherein said antimicrobial and/or antiparasitic composition contains at least one GRAS flavor alcohol (a), preferably an aromatic GRAS flavor alcohol, especially benzyl alcohol.
4. The method according to claims 3, wherein said antimicrobial and/or antiparasitic composition contains less than 50% by weight, preferably less than 30% by weight, more preferably less than 20% by weight, of ethanol, isopropanol or benzyl alcohol or a mixture of these substances.
5. The method according to claim 1 or 2, wherein said antimicrobial and/or antiparasitic composition contains at least one hydrophilic alcoholic GRAS flavoring agent and/or one hydrophilic non-alcoholic GRAS flavoring agent.

6. The method according to claim 5, wherein said antimicrobial and/or antiparasitic composition further contains benzyl alcohol and/or a polyphenol compound (b).
- 5 7. The method according to claim 1 or 2, wherein said antimicrobial and/or antiparasitic composition contains one or more GRAS flavor alcohols or their derivatives (a) and one or more flavoring agents selected from polyphenol compounds (b) and GRAS flavor acids or their derivatives (c).
- 10 8. The method according to claim 7, wherein said antimicrobial and/or antiparasitic composition contains
from 0.1 to 99% by weight, preferably from 0.5 to 99% by weight, of
component (a);
15 from 0.01 to 25% by weight, preferably from 0.01 to 10% by weight, of
component (b); and
from 0.01 to 70% by weight, preferably from 0.01 to 30% by weight, of
component (c).
- 20 9. The method according to claim 7 or 8, wherein said GRAS flavor alcohol (a) is selected from:
benzyl alcohol, acetoin, ethyl alcohol, propyl alcohol, isopropyl alcohol,
propylene glycol, glycerol, n-butyl alcohol, iso-butyl alcohol, hexyl

alcohol, L-menthol, octyl alcohol, cinnamyl alcohol, α -methylbenzyl alcohol, heptyl alcohol, n-amyl alcohol, iso-amyl alcohol, anisalcohol, citronellol, n-decyl alcohol, geraniol, β , γ -hexenol, lauryl alcohol, linalool, nerolidol, nonadienol, nonyl alcohol, rhodinol, terpineol, borneol, clineol, anisole, cuminyl alcohol, 10-undecene-1-ol, 1-hexadecanol or their derivatives;

said polyphenol compound (b) is selected from:

catechol, resorcinol, hydroquinone, phloroglucinol, pyrogallol, cyclohexane, resveratrol, usnic acid, acylpolyphenols, lignins, anthocyanins, flavones, catechols, gallic acid derivatives, caffeic acid, flavonoids, derivatives of the mentioned polyphenols, and extracts from Camellia, Primula; and

said GRAS acid (c) is selected from:

acetic acid, aconitic acid, adipic acid, formic acid, malic acid, capronic acid, hydrocinnamic acid, pelargonic acid, lactic acid, phenoxyacetic acid, phenylacetic acid, valeric acid, iso-valeric acid, cinnamic acid, citric acid, mandelic acid, tartaric acid, fumaric acid, tannic acid and their derivatives.

10. The method according to one or more of claims 7 to 9, wherein said antimicrobial and/or antiparasitic composition contains

(a1) an aromatic GRAS flavor alcohol, especially benzyl alcohol, as a necessary component; and optionally

(a2) one or more further GRAS flavor alcohols or their derivatives; and

(b) one or more polyphenol compounds; and/or

(c) one or more GRAS acids or their derivatives.

11. The method according to claim 10, wherein said antimicrobial and/or antiparasitic composition contains
- from 0.1 to 99% by weight, preferably from 0.1 to 75% by weight, of benzyl alcohol;
- from 0.01 to 99.8% by weight, preferably from 0.01 to 99% by weight, of component (a2); and
- from 0.01 to 25% by weight, preferably from 0.01 to 10% by weight, of component (b);
- from 0.01 to 70% by weight, preferably from 0.01 to 30% by weight, of component (c).
12. The method according to claim 10 or 11, wherein said antimicrobial and/or antiparasitic composition contains one or more polyphenol compounds (b) as a necessary component and optionally one or more GRAS acids (c) or their derivatives.

13. The method according to one or more of claims 7 to 12, wherein said antimicrobial and/or antiparasitic composition contains further GRAS flavoring agents selected from (d) phenols, (e) esters, (f) terpenes, (g) acetals, (h) aldehydes and (i) essential oils.

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14. The method according to claim 13, wherein said antimicrobial and/or antiparasitic composition contains from 0.001 to 25% by weight, preferably from 0.01 to 9% by weight, of said further GRAS flavoring agents (d) to (i).

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15. The method according to claim 13 or 14, wherein said further GRAS flavoring agents are phenols (d) and/or essential oils (i).

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16. The method according to one or more of claims 2 to 15, wherein said antimicrobial and/or antiparasitic composition does not contain any derivatives of said GRAS flavoring agents.

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17. The method according to one or more of claims 10 to 16, wherein said antimicrobial and/or antiparasitic composition contains one or two GRAS flavor alcohols (a2) and at least one polyphenol compound (b).

18. The method according to claim 17, wherein said polyphenol compound (b) is tannin.

19. The method according to claim 18, wherein said antimicrobial and/or antiparasitic composition contains from 0.1 to 98% by weight of benzyl alcohol and from 0.01 to 10% by weight of tannin.

5 20. The method according to claim 1, wherein said antimicrobial and/or antiparasitic composition contains

(i) at least one lipophilic GRAS (generally recognized as safe) flavoring agent; and

10 (ii) at least one hydrophilic GRAS flavoring agent.

21. The method according to claim 20, wherein said lipophilic GRAS flavoring agents are selected from (a₁) lipophilic GRAS flavor alcohols or their derivatives, (b) polyphenol compounds, (c₁) lipophilic GRAS flavor acids or their derivatives, (d) phenols or their derivatives, (e₁) lipophilic esters, (f) terpenes, (g) acetals, (h₁) lipophilic aldehydes and (i) essential oils.

20 22. The method according to claim 20 or 21, wherein said antimicrobial and/or antiparasitic composition contains at least two lipophilic GRAS flavoring agents, preferably two lipophilic GRAS flavor alcohols (a₁).

23. The method according to claim 21 or 22, wherein said lipophilic GRAS flavor alcohols are selected from aromatic GRAS flavor alcohols, including benzyl alcohol, 2-phenylethanol, 1-phenylethanol, cinnamyl alcohol, hydrocinnamyl alcohol, 1-phenyl-1-propanol and anisalcohol, and aliphatic GRAS flavor alcohols, including n-butyl alcohol, iso-butyl alcohol, hexyl alcohol, L-menthol, octyl alcohol, heptyl alcohol, n-amyl alcohol, iso-amyl alcohol, anisalcohol, citronellol, n-decyl alcohol, geraniol, β , γ -hexenol, lauryl alcohol, linalool, nerolidol, nonadienol, nonyl alcohol, rhodinol, terpineol, borneol, clineol, anisole, cuminyl alcohol, 10-undecene-1-ol and 1-hexadecanol and their derivatives, wherein the aromatic GRAS flavor alcohols, especially benzyl alcohol, are preferred.

24. The method according to one or more of claims 20 to 23, wherein said hydrophilic GRAS flavoring agent is a hydrophilic alcoholic GRAS flavoring agent (a_h) or a hydrophilic non-alcoholic GRAS flavoring agent, wherein said hydrophilic alcoholic GRAS flavoring agent (a_h) is preferably a monohydric or polyhydric alcohol having from 2 to 10, preferably from 2 to 7, carbon atoms, which is more preferably selected from acetoin, ethyl alcohol, propyl alcohol, iso-propyl alcohol, propylene glycol and glycerol; and said hydrophilic non-alcoholic GRAS flavoring agent is a hydrophilic organic GRAS flavor acid (c_h) having from 1 to 15 carbon atoms or a

physiological salt thereof, a hydrophilic acetate (e_h) or a hydrophilic aldehyde (h_h).

25. The method according to claim 24, wherein said hydrophilic organic acid (c_h) contains from 2 to 10 carbon atoms and is especially selected from acetic acid, aconitic acid, formic acid, malic acid, lactic acid, phenylacetic acid, citric acid, mandelic acid, tartaric acid, fumaric acid, tannic acid, hydrocinnamic acid and their physiological salts; said hydrophilic acetate (e_h) is selected from allicin, triacetin, potassium acetate, sodium acetate and calcium acetate; and/or said hydrophilic aldehyde (h_h) is selected from furfural, propionaldehyde and vanillin.

26. The method according to claim 24, wherein said antimicrobial and/or anti-parasitic composition contains less than 50% by weight, preferably less than 30% by weight, more preferably less than 20% by weight, of benzyl alcohol or of a mixture of benzyl alcohol with ethanol and/or isopropanol.

27. The method according to claim 24 or 25, wherein said antimicrobial and/or antiparasitic composition contains two lipophilic GRAS flavor alcohols (a), but no benzyl alcohol and no polyphenol compounds (b).

28. The method according to claim 24 or 25, wherein said antimicrobial and/or antiparasitic composition contains benzyl alcohol and/or a polyphenol compound (b), but no further GRAS flavor alcohols.

5 29. The method according to claim 27 or 28, wherein said antimicrobial and/or antiparasitic composition contains exclusively non-alcoholic hydrophilic GRAS flavoring agents, especially exclusively a hydrophilic GRAS flavor acid (C_n).

10 30. The method according to claim 28 or 29, wherein said antimicrobial and/or antiparasitic composition contains from 0.01 to 99% by weight, preferably from 0.1 to 90% by weight, of benzyl alcohol or polyphenol compounds (b); and from 0.01 to 50% by weight, preferably from 0.1 to 30% by weight, of
15 hydrophilic non-alcoholic GRAS flavoring agents.

31. The method according to claim 20 or 21, wherein said antimicrobial and/or antiparasitic composition contains
(A) one or more GRAS flavor alcohols (a) or their derivatives; and
20 (B) one or more flavoring agents selected from polyphenol compounds (b) and lipophilic GRAS flavor acids or their derivatives (c).

32. The method according to claim 31, wherein said antimicrobial and/or anti-parasitic composition contains
from 0.1 to 99% by weight, preferably from 0.5 to 99% by weight, of component (a);
5 from 0.01 to 25% by weight, preferably from 0.01 to 10% by weight, of component (b); and
from 0.01 to 70% by weight, preferably from 0.01 to 30% by weight, of component (c).

10 33. The method according to claim 31 or 32, wherein said antimicrobial and/or antiparasitic composition contains further GRAS flavoring agents selected from (d) phenols or their derivatives, (e) lipophilic esters, (f) terpenes, (g) acetals, (h) lipophilic aldehydes and (i) essential oils.

15 34. The method according to one or more of claims 31 to 33, wherein said polyphenol compound (b) is selected from:
catechol, resorcinol, hydroquinone, phloroglucinol, pyrogallol,
cyclohexane, resveratrol, usnic acid, acylpolyphenols, lignins,
anthocyanins, flavones, catechols, gallic acid derivatives, caffeic acid,
20 flavonoids, derivatives of the mentioned polyphenols, and extracts from Camellia, Primula; and

said lipophilic GRAS acid (c) is selected from:

adipic acid, capronic acid, pelargonic acid, phenoxyacetic acid, valeric acid, iso-valeric acid, cinnamic acid, mandelic acid and their derivatives.

35. The method according to one or more of claims 31 to 34, wherein
5 component (A) of said antimicrobial/antiparasitic composition contains
benzyl alcohol as a necessary component (a1) and optionally one or
more further lipophilic GRAS flavor alcohols or their derivatives (a_i).
36. The method according to claim 34 or 35, wherein said antimicrobial
10 and/or antiparasitic composition contains
from 0.1 to 99% by weight, preferably from 0.1 to 75% by weight, of
benzyl alcohol;
from 0.01 to 99.8% by weight, preferably from 0.01 to 99% by weight, of
component (a_i); and
15 from 0.01 to 25% by weight, preferably from 0.01 to 10% by weight, of
component (b);
from 0.01 to 70% by weight, preferably from 0.01 to 30% by weight, of
component (c).
37. The method according to claim 35 or 36, wherein component (B) of said
20 antimicrobial and/or antiparasitic composition contains one or more
polyphenol compounds (b) as a necessary component and optionally (c)
one or more GRAS acids or their derivatives.

38. The method according to claim 36 or 37, wherein said antimicrobial and/or antiparasitic composition contains further GRAS flavoring agents (d) to (i), preferably from 0.001 to 25% by weight, more preferably from 0.01 to 9% by weight, of said further GRAS flavoring agents (d) to (i).
39. The method according to claim 38, wherein said further GRAS flavoring agents are phenols (d) and/or essential oils (i).
40. The method according to one or more of claims 21 to 39, wherein said antimicrobial and/or antiparasitic composition does not contain any derivatives of said GRAS flavoring agents.
41. The method according to one or more of claims 34 to 40, wherein said antimicrobial and/or antiparasitic composition contains one or two lipophilic GRAS flavor alcohols (a₁) and at least one polyphenol compound (b).
42. The method according to claim 41, wherein said polyphenol compound (b) is tannin.
43. The method according to claim 42, wherein said antimicrobial and/or antiparasitic composition contains from 20 to 98% by weight of benzyl alcohol and from 0.01 to 10% by weight of tannin.

44. The method according to one or more of claims 1 to 43, wherein said antimicrobial and/or antiparasitic composition further contains monohydric or polyhydric alcohols having from 2 to 10 carbon atoms, emulsifiers, stabilizers, antioxidants, preservatives, solvents and/or carriers.

45. The method according to one or more of claims 1 to 43, wherein said antimicrobial and/or antiparasitic composition exclusively consists of GRAS flavoring agents.

46. The method according to one or more of claims 1 to 21, wherein said microbially degradable and/or perishable substances/objects are selected from air filters, wool and cotton.

47. Use of an antimicrobial/antiparasitic composition as defined in claims 1 to 45, preferably as defined in claims 20 to 45, for the impregnation or surface treatment of microbially degradable, contaminatable and/or perishable substances/objects or of parasite-attacked substances/objects or of substances/objects which have to be self-decontaminating, wherein said substances/objects are as defined in claim 1.

48. Use of an antimicrobial/antiparasitic composition as defined in claims 1 to 45, preferably as defined in claims 20 to 45, for incorporation into microbiologically degradable, contaminatable and/or perishable substances/objects, or into parasite-attacked substances/objects or into substances/objects which have to be self-decontaminating, wherein said substances/objects are as defined in claim 1.

[illegible]

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DECLARATION, POWER OF ATTORNEY AND POWER TO INSPECT

As the below named inventor, I hereby declare:

that my residence, post office address and citizenship are as stated below next to my name;

that I verily believe I am the original, first and sole inventor of the Invention entitled:

"IMPREGNATION METHOD," United States Patent Application Serial No. 10/070,042, filed February 27, 2002.

the specification of which [check one(s) applicable]:

- ☒ was first described and claimed in German Patent Application Serial No. **199 40 605.7** filed on **August 27, 1999**;
☒ was filed as PCT International Application No. **PCT/EP/00/08381** on **August 28, 2000** (International Filing Date);
_____ and was amended by Amendments filed _____ (if applicable); [or];
☒ is attached to this Declaration, Power of Attorney and Power to Inspect;

that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and

that I acknowledge my duty to disclose information which is material to the examination of this Application in accordance with Rule 56(a) [37CFR§1.56(a)].

POWER OF ATTORNEY: As inventor, I hereby appoint the practitioners associated with **Customer No. 000110** as my attorneys or agents with full power of substitution to prosecute this Application and to transact all business in the Patent and Trademark Office connected therewith: **John S. Child Jr., Reg. No. 28,833**

POWER TO INSPECT: I hereby give **DANN, DORFMAN, HERRELL AND SKILLMAN, P.C.** of Philadelphia, PA or its duly accredited representatives power to inspect and obtain copies of the papers on file relating to this Application.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the Application or any patent issued thereon.

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